



Advancing  
rights  
through  
dialogue

# ANNUAL REPORT

— 2021 —

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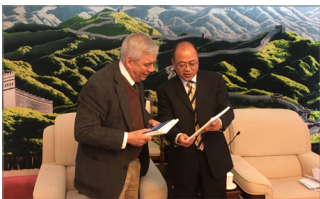
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# DUI HUA 对话 MEANS DIALOGUE

**WE ARE** a nonprofit humanitarian organization seeking clemency and better treatment for at-risk detainees through the promotion of universally recognized human rights in well-informed, mutually respectful dialogue with China.

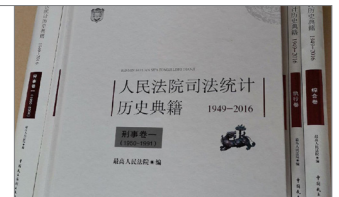
**WE FOCUS ON** political and religious prisoners, juvenile justice, women in prison, and issues in criminal justice. Our work rests on the premise that positive change is realized through constructive relationships and exchange.

## OUR APPROACH



**ADVOCACY** through respectful, well-informed dialogue with China both directly and via the UN and government bodies.

**RESEARCH** into internet and library resources to uncover relevant cases, regulations, and trends.



**PUBLICATIONS** on prisoner cases and analysis of developments in criminal justice and human rights.

**EXPERT EXCHANGE** among criminal justice practitioners and experts, including China's Supreme People's Court.



**COMMUNITY ENGAGEMENT** to apprise the global community of research findings and practical experience.

# EXECUTIVE DIRECTOR'S LETTER

Dear Friends,

The Year of the Ox, 2021, was a time of both challenge and achievement, setbacks and advances. The world was ravaged by the Coronavirus. Hundreds of thousands died, even more were hospitalized. International travel was impossible.

2021 was the first year since 1972 that I failed to visit China. Economies were devastated, and family finances were strained. Dui Hua reduced the size of its San Francisco and Hong Kong offices, switched to a hybrid work routine, and conducted meetings and outreach events virtually.

Perhaps Dui Hua's biggest achievement of 2021 was the conclusion of a series of webinars that together made up the first international symposium on girls in conflict with the law. Together with partners, the foundation organized and carried out 12 webinars on a wide range of topics, bringing together the world's foremost experts on the long-ignored crisis of girls subjected to detention – and worse.

President Joe Biden assumed office in January. Hope was quickly dashed that US-China relations would improve. At his confirmation hearings, Secretary Blinken signed onto the previous administration's characterization of human rights abuses in China's Xinjiang as genocide. Scores of Chinese officials were sanctioned, and China returned the favor, sanctioning many American officials and leaders of human rights groups.

The Year of the Ox was the first full year of Hong Kong living under the National Security Law. More than 100 pro-democracy activists were arrested, and few were granted bail. Media outlets were shuttered. Many international human rights groups fled the former British colony. Dui Hua kept its office open, bearing witness to a difficult time in the Special Administrative Region's history.

With support from the Dutch government, Dui Hua wrote and published a report on the persecution of unorthodox religions in China, a rarely explored topic. Adherents of unorthodox religions make up the largest group of prisoners subjected to coercive measures for the non-violent expression of their beliefs – Dui Hua identified 41 of these groups. The foundation is one of the few entities who advocate for these people. Altogether Dui Hua released 39 publications in 2021.

Since Dui Hua was established in 1999, advocacy on behalf of political and religious prisoners has been at the core of our work, and 2021 was no different in that regard. Despite being unable to submit prisoner lists in person, the foundation was able to submit 31 lists virtually. We learned of 19 acts of clemency for people on our lists. We also provided consultations to 43 families and supporters of prisoners.

*With support from loyal grantors and donors, Dui Hua achieved much in 2021, and ended the year ready to take on the challenges of 2022. Thank you.*



John Kamm  
Executive Director





# ADVOCACY

Dui Hua remains the only NGO in the world that is able to submit prisoner lists to the Chinese government and receive written responses. This feature, along with the foundation's other assets and capabilities, enables Dui Hua to conduct a unique form of advocacy. This advocacy takes many shapes through meetings and correspondence with Chinese officials as well as engagement with NGOs, like-minded governments, and international organizations.

Dui Hua adapted to a new reality in 2020, overcoming challenges posed by Covid. While in-person meetings remained off the table in 2021, Dui Hua advocated for at-risk detainees via virtual meetings, email, messaging apps, and phone calls. Having spearheaded the submission of prisoner lists via messaging apps, Dui Hua continued to raise names with the Chinese government and to provide consultations to detainees, their families, and supporters.

One aspect of Dui Hua's advocacy is its engagement with the Consulate General of the People's Republic of China in San Francisco. These communications and meetings address, among other issues, US citizens and permanent residents undergoing coercive measures in China. In 2021, Dui Hua also contributed to the

## DUI HUA'S APPROACH

- Open source research**  
informs prisoner lists & engagement
- Communication**  
with interlocutors in physical & virtual meetings
- Consultations**  
with prisoners' families and supporters
- Meetings**  
with officials at local, regional, national, & international levels

### Advocating for

- Political and religious prisoners
- Those sentenced to death
- Justice-involved Juveniles
- Women in prison

**Dui Hua Foundation**  
remains the only NGO in the world able to submit prisoner lists to the Chinese government and receive written responses in return

resolution of the "Two Michaels" case and the lifting of the exit bans on Cynthia and Victor Liu. Dui Hua has been working on lifting the exit bans on other individuals held in China for many years. ■

## Special Consultative ECOSOC Status



Dui Hua uses its special status to

Make submissions to Universal Periodic Reviews for China and the United States

Engage with Working Groups on key human rights issues

In 2021, Dui Hua provided support to the Working Group on Arbitrary Detention on the case of Li Kai, which determined that Li had been arbitrarily detained.

Image credit: Jean-Marc Ferré / UN Photo

# POLITICAL & RELIGIOUS PRISONERS

Dui Hua's work on political and religious prisoners includes preparing and submitting prisoner lists, consulting with human rights defenders, and advocating for clemency for prisoners. To do so, Dui Hua maintains the world's largest database of Chinese political and religious prisoners: the Political Prisoner Database (PPDB).

At the end of 2021, the PPDB had more than 45,000 prisoner profiles, an increase of roughly 3.4 percent over the total of 2020. The PPDB's Active Registry — comprised of detainees currently undergoing coercive measures — contains records on over 7,000 people. Dui Hua added 1,508 new prisoners to the PPDB in 2021.

Dui Hua remains the only organization able to submit prisoner lists directly to the Chinese government and to receive written responses in reply. In 2021, Dui Hua submitted 31 lists to the Chinese government, including 194 prisoners, and received responses on 48 individuals.

Consultations with human rights defenders and/or their families and supporters are an important feature of Dui Hua's work. Dui Hua conducted consultations with 43 detainees, their families, or supporters. Of these, 22 were first-time consultations. Dui Hua keeps records of acts of clemency, including sentence reductions, parole and medical parole, better

treatment, the granting of bail, and other measures. Dui Hua learned of 19 such acts of clemency for prisoners on lists during the year.

## Notable examples of individuals who were granted acts of clemency include:

In early October, Dui Hua learned that activist **Li Jiangpeng** (李江鹏) was released from prison in September 2021, two months before his sentence was completed. Li participated in an off-line gathering with others in November 2016. For that he was convicted of inciting subversion and sentenced to five years in prison in January 2020.

Uyghur political prisoner **Gulmira Imin** (古丽美拉) had her life imprisonment sentence commuted to 19 years and eight months, 12 years after she was taken into custody for splittism for her alleged role in inciting the Urumqi Riots in 2009. Dui Hua has raised her name on more than a dozen prisoner lists since she was convicted in April 2010.

Tibetan political prisoner **Tsultrim Gyatso** (次成加措) received his second sentence reduction of six months on April 1 after his life-in-prison sentence for splittism was commuted to 19 years in 2014. He was taken into custody for taking part in pro-independence protests in 2008 in Gansu. ■

## 2021 RESULTS

**1508**

Names added to the PPDB

**194**

Names raised with the Chinese government

**31**

Lists submitted to the Chinese government

**48**

Prisoners about whom responses were received

**19**

Acts of clemency learned of during the year

# INTERNATIONAL SYMPOSIUM ON GIRLS IN CONFLICT WITH THE LAW



From October 2020 to March 2021, Dui Hua and its partners hosted the International Symposium on Girls in Conflict with the Law. The innovative exchange bridged two Dui Hua focus areas — juvenile justice reform and the treatment of women prisoners — and brought together experts, stakeholders, and activists working on juvenile justice.

## Girls' Justice: Systems & Solutions

### Webinars in 2021

- **Juvenile Incarceration: Alternatives**
- **Girls In Justice: Photographer Richard Ross in Conversation**
- **Working with Young Women and Girls**
- **Sex Trafficking of Girls**

The Symposium explored the systems in place to protect, prosecute, and adjudicate girls around the world. In these four webinars, judges, artists, advocates, and researchers discussed challenges and solutions to keep girls out of the criminal justice system. Addressing issues ranging from sex trafficking to the lack of gender-sensitive policies, it became clear the problems are not unique to any country.

## Protecting Girls' Rights: Law & Practice

- **International Perspectives on Girls in Conflict with the Law**
- **Findings and Calls to Justice: Focus on Indigenous Girls and Youth in Canada and Hawaii**

**GIRLS' SYMPOSIUM 2021**  
BY THE NUMBERS

**8 WEBINARS**  
preceded by an additional 4 in 2020

**32 PANELISTS**  
28 panelists, 2 translators, 2 moderators/liaisons

**25 RESOURCES**  
presentations, research papers, and academic resources

**135+ ATTENDEES**  
more than 40 of which joined the webinars were from China

**18 COUNTRIES**  
represented by both panelists and attendees

**10 RECOMMENDATIONS**  
from policy experts on girls' justice around the world

**14 HOURS**  
recorded webinars available to watch 24 hours a day

**GIRLSJUSTICE.ORG**



These two presentations drew on expertise from the United Nations and the Indigenous Law and Youth Advocacy to address specific policy changes necessary to make juvenile justice laws gender sensitive and to provide girls with resources needed to break the cycle of criminal behavior. Policy changes should be coupled with funding, job training, mental health support, and gender-sensitive facilities appropriate for youth. Policy and funding recur as two crucial areas to be addressed.

## Exploring Girls' Justice in China: Voices from Hong Kong, Guangzhou, and the Supreme People's Court of China

- **Girls in Conflict with the Law: Hong Kong and Guangzhou**
- **China's Supreme People's Court: Special and Priority Protection of the Legitimate Rights and Interests of Underage Girls in Accordance with the Law**

With the participation of representatives from Hong Kong, Guangzhou, and the Supreme People's Court (SPC) of China, these webinars explored how girls' justice is addressed in China. Chinese professors shared their research on the causal factors in China for girls engaging in criminal behavior. Representatives from the SPC discussed recent revisions to juvenile protection laws in China. They also spoke about specific aspects of the government's approach, such as prioritizing diversion from conviction and the ongoing involvement of the court in juvenile offenders' rehabilitation process. ■

### GIRLS' JUSTICE

Our Work in 2021

#### POLICY RECOMMENDATIONS

best practices based on the UN Global Study on Children Deprived of Liberty

#### COMMUNITY BUILDING

bringing experts, scholars, and activists together

#### RESOURCE CREATION

including a website, videos, transcripts, and key research

#### ENGAGEMENT WITH CHINA

dialogue that leads to better understanding and data on girl crime

IN PARTNERSHIP WITH:

Patricia Lee,  
Managing Attorney,  
Juvenile Unit,  
San Francisco Public  
Defender's Office  
(Host Partner)

"Through talking and learning from each other about the challenges, sharing solutions, and fostering ongoing global dialogue on justice for girls, our virtual webinar aims to lay the groundwork for real systems change."

John Kamm, Founder & Executive Director, Dui Hua Foundation



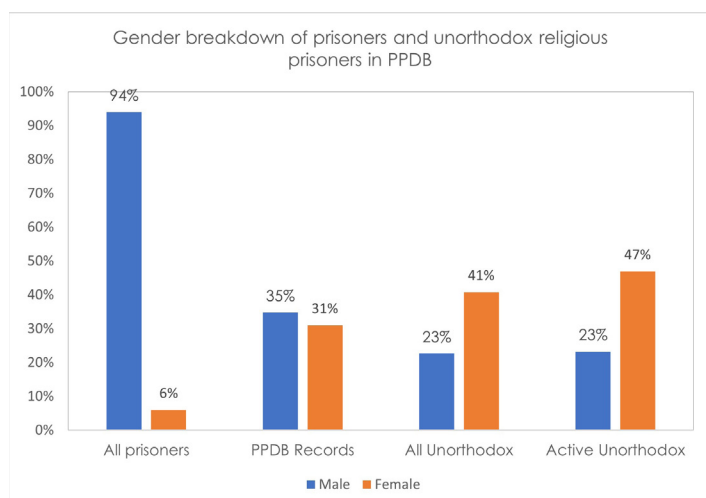
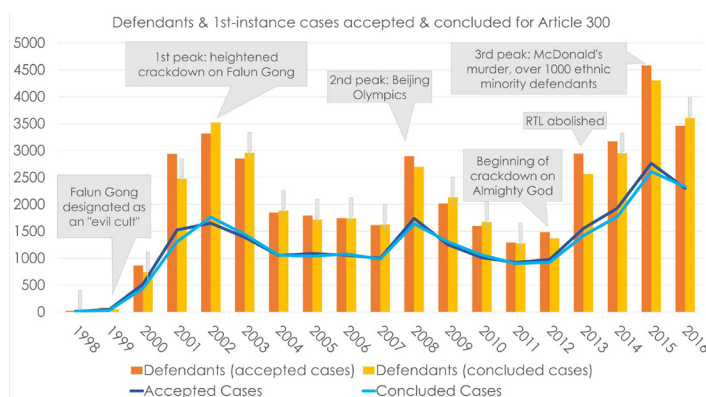
# PERSECUTION OF UNORTHODOX RELIGIOUS GROUPS IN CHINA

In 2021, with the support of a dedicated grant, Dui Hua conducted research culminating in the report “The Persecution of Unorthodox Religious Groups in China.” Focusing on the years after 1978’s opening and reform policies up to the present day, the report identifies 41 unorthodox religious groups and describes them as well as their treatment by the Chinese Communist Party.

For the report, Dui Hua compiled prisoner lists of unorthodox religious adherents. Several of these names have been submitted to the Chinese government by the foundation. The report was released to the public in the first half of 2022. It concludes with recommendations for governments to better advocate for unorthodox religious prisoners.

## Key findings:

- The number of all religious practitioners in China — taking into account unorthodox adherents, which are omitted from official figures — far exceeds the official total of 200 million as of 2018.
- Adherents of unorthodox religious groups make up as many as three-quarters of all Chinese political and religious prisoners in the PPDB
- Women are disproportionately represented among prisoners: they comprise 41 percent of unorthodox religious prisoners in the PPDB despite being 8 percent of the general prison population.
- Specific charges, particularly Article 300 of the Criminal Law (“leading/using a cult to undermine implementation of the law”) along with economic crimes, are used to target these adherents.
- Persecution has intensified while clemency (and international advocacy) for such prisoners has declined.
- Adherents of unorthodox religions are typically non-violent. Instances of violence are rare; when they occur, the Chinese government features them in “anti-cult” propaganda. Adherents have been convicted of rape and murder. Those so convicted are often executed.
- Adherents rarely make their way onto prisoner lists submitted to the Chinese government in bilateral and multilateral human rights dialogues. Their numbers dwarf those subjected to coercive measures for exercising their political beliefs. ■



# RESEARCH & PUBLICATIONS

The foundation of Dui Hua's work is its proprietary databases: the Political Prisoner Database (PPDB), the world's largest database of Chinese political and religious prisoners, and the Death Penalty Log, which tracks China's use of capital punishment.

The databases are updated to improve security, operation, and performance. In 2021, Dui Hua finished developing a sentence journal with revised data structure and improved input form. Research staff can now better record changes in convicted crimes and multiple trial procedures. After the Hong Kong National Security Law became active, Dui Hua also started to record 14 new endangering state

security crimes specific to the Hong Kong SAR.

Dui Hua's 2021 publications shine a light on critical issues shaping the field, including declines in judicial transparency, disproportionate sentencing for ethnic minorities, and US-China relations. In 2021, Dui Hua's work was cited in 256 articles.

In 2021, Dui Hua launched GirlsJustice.org to the public. The website complements Dui Hua's main site ([duihua.org](http://duihua.org)), its *Human Rights Journal* blog, and a specialized site promoting the Bangkok Rules. In 2021, Dui Hua's websites attracted 24,410 visitors and more than 52,550 page views. ■

2021 Publications		In the News	
11	Digests	<b>Dui Hua's work featured in...</b>	The New York Times, Financial Times, Global Times, The Washington Post, China Digital Times, Toronto Star, The Wall Street Journal, Politico
9	Prisoner Updates	<b>Dui Hua's research cited by...</b>	Congressional Research Service
15	Human Rights Journal posts		Congressional-Executive Commission on China
3	Press Statements		US Department of Justice
			US Department of State
			Routledge's A China Business Primer: Ethics, Culture, and Relationships
			Department of Foreign Affairs and Trade in Australia

## DEATH PENALTY

With its Death Penalty Log (DPL), Dui Hua tracks China's use of the death penalty. China remains the world's top executioner, and Dui Hua's estimates on China's execution rate are among the most reliable figures available. The DPL holds records on more than 5,000 death sentences and over 3,000 executions in China.

In 2021, Dui Hua added 234 new entries into the DPL; Dui Hua learned of 153 death sentence judgments from first-instance trials and 113 executions. Crimes resulting in death sentences and executions in 2021 included murder and drug-related crimes.

Information about China's use of the death penalty is not easily accessible, and finding information became more difficult after death sentence judgments were purged from Chinese court judgment websites in 2021.

To address some of these issues, Dui Hua's researchers adopted new search phrases and expanded their search scope to find new cases. In July, Dui Hua published a post in its *Human Rights Journal* about China's National People's Congress' discussion to expand legal aid in death penalty cases. This expansion, which Dui Hua noted as a positive development, went into effect in 2022. ■

# COMMUNITY ENGAGEMENT & SUPPORT

In-person, face-to-face exchanges have long been important to Dui Hua's work. Raising names is more effective face-to-face, and in-person outreach drives home the importance of human rights norms in ways that webinars do not.

2021 didn't see the return to normalcy that was hoped for, but Dui Hua cautiously resumed in-person community engagement while continuing to expand its virtual outreach. In addition to the eight webinars that were completed as part of the International Symposium on Girls in Conflict with the Law, Dui Hua participated in seven outreach events. Kamm spoke publicly about US-China relations and implications for human rights throughout the year.

In February, Kamm spoke on the China Panel at "State of World" conference at Florida International University. In March, Kamm took part in outreach events centered around religious freedom. Speaking to congregants of Trinity-by-the-Cove Episcopal Church, he reiterated how the protection of prisoners is a

hallmark of Christian values. During his remarks to the US-China Catholic Association, Kamm spoke about his work advocating for Catholic priests and bishops in China. Shortly before speaking at the 28th International Conference of the US-China Catholic Association on the topic of "Serving Society in China," Dui Hua's offices were visited, and blessed by, Archbishop Savio Hon, with Dui Hua staff and board members in attendance.

Kamm also gave a speech and presentation to the Young Presidents Organization, focusing on how business strategies can apply to human rights work. In April, Kamm addressed the University Club of San Francisco with the presentation "Joe Biden Confronts the China Challenge." The speech, Kamm's third to the club in the last two years, was well attended by local dignitaries and those working in US-China relations. Later in April, Kamm participated in the Asia Society's panel "Biden and Asia: Different Views on Human Rights?" with former UN special rapporteur on the situation of human rights in Myanmar Yanghee Lee, lawyer Theodore Te, and moderator Tom Nagorski.



A flyer for John Kamm's speech to the University Club of San Francisco. During the event, Kamm discussed Dui Hua's work and recent developments in US-China relations before hosting a lively Q&A session. Image credit: Dui Hua Foundation & the University Club of San Francisco





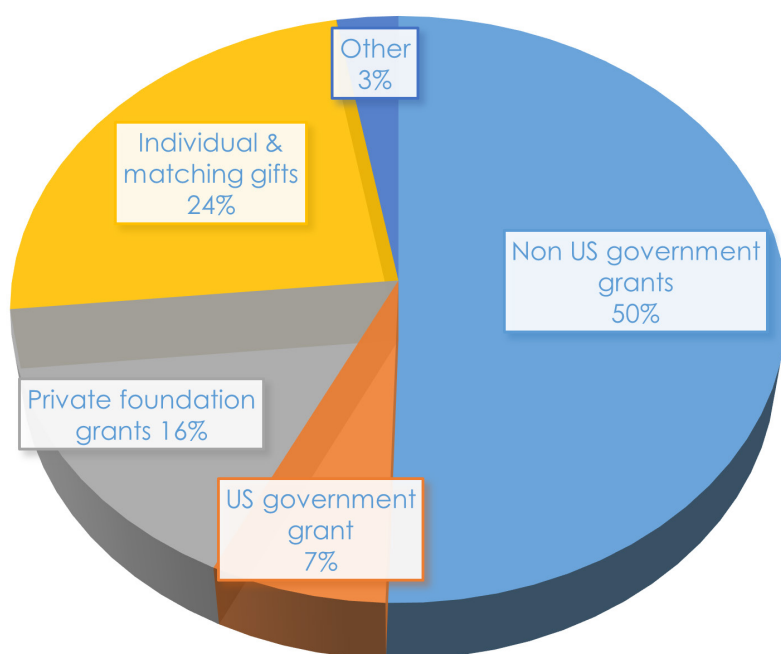
Patricia Lee addresses the audience at Dui Hua's year-end event. Image credit: Dui Hua Foundation

On December 9, Dui Hua held its first Friends of Dui Hua year-end event since the outbreak of COVID-19. With attendees vaccinated, masked, and social distancing, supporters of the organization enjoyed a brief respite from the challenges of the past year while taking stock of achievements and the work to come.

Dui Hua's work would not be possible without the generous support of its grantors and donors.

Support from government grants made up 57 percent of Dui Hua's unrestricted revenue in 2021. Dui Hua received grants from like-minded governments including Canada, Denmark, the Netherlands, the European Union, Norway, Sweden, Switzerland, and the United States. In addition to government support, a private foundation has been supporting Dui Hua since its founding in 1999. Individual and corporate donations contributed 24 percent to Dui Hua's unrestricted revenue.

## 2021 UNRESTRICTED REVENUE



Our grantors and donors are recognized at the end of this report. The staff and Directors are grateful for the sustained support of the foundation's friends all around the world.■

# Summarized Audited Financial Statements

These statements are summarized from the financial statements audited by Lindquist, von Husen & Joyce LLP, San Francisco, California. All amounts are in US dollars.

## STATEMENT OF FINANCIAL POSITION

(as of December 31, 2021)

	Assets	Liabilities & Net Assets
Cash & cash equivalents	1,217,060	
Deposits & prepaid expenses	25,717	
Contributions & accounts receivable	369,276	
Property and equipment - net	4,874	
Accounts payable		78,582
Net assets		1,538,345
Without donor restrictions		941,283
Board-designated reserve fund		400,000
Undesignated		541,283
With donor restrictions		597,062
<b>Total</b>	<b>1,616,927</b>	<b>1,616,927</b>

## STATEMENT OF ACTIVITIES

(year ended December 31, 2021)

	Without Donor Restrictions	With Donor Restrictions	Total
Support & revenue:			
Government grants	108,457	100,000	208,457
Individuals & corporate contributions	289,118	10,000	299,118
Program service fees	23,338	-	23,338
Other income	10,978	-	10,978
Foreign currency exchange net realized (gain) loss	(401)	1,010	609
Foreign currency exchange net unrealized loss	(81)	(38,573)	(38,654)
Net assets released from restrictions	784,543	(784,543)	-
	1,215,952	(712,106)	503,846
Expenses:			
Program services	922,552	-	922,552
Supporting services			
Management & general	192,779	-	192,779
Fundraising	85,355	-	85,355
	1,200,686	-	1,200,686
<b>Change in net assets</b>	<b>15,266</b>	<b>(712,106)</b>	<b>(696,840)</b>
<b>Net assets, start of year</b>	<b>926,017</b>	<b>1,309,168</b>	<b>2,235,185</b>
<b>Net assets, end of year</b>	<b>\$941,283</b>	<b>\$597,062</b>	<b>\$1,538,345</b>

# OUR GENEROUS CONTRIBUTORS

## GRANTORS

Bureau of Democracy,  
Human Rights and Labor, US  
Department of State  
The Canada Fund for Local  
Initiatives  
Federal Department of  
Foreign Affairs, Switzerland  
Ministry of Foreign Affairs,  
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*(In memory of Tom Gorman)*  
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The Blackbaud Giving Fund  
Qualcomm Matching Grant  
Program  
Salesforce.com, Inc.  
UBS Matching Gifts Program

Additional gifts were received  
through the AmazonSmile  
Foundation

\* Dui Hua Sustainer who gives  
recurring donations



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DuiHuaFoundation



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